TEXAS ADMINISTRATIVE CODE § 20.13

The statewide HUB goals for the procurement categories for the State of Texas

- 11.2% Heavy Construction
- 21.1% Building Construction
- 32.9% Special Trade Construction
- 23.7% Professional Services
- 26.0% Other Services
- 21.1% Commodities

Agencies can set their own agency-specific HUB goals higher or lower than the goals. However, the statewide HUB goals should be each agency’s starting point for establishing agency-specific goals.
HUB Subcontracting Plan

In accordance with Texas Gov’t Code §2161.252, the contracting agency has determined that subcontracting opportunities are probable under this contract. Therefore, all respondents, including State of Texas certified Historically Underutilized Businesses (HUBs) must complete and submit this State of Texas HUB Subcontracting Plan (HSP) with their response to the bid requisition (solicitation).

NOTE: Responses that do not include a completed HSP shall be rejected pursuant to Texas Gov’t Code §2161.252(b).

The HUB Program promotes equal business opportunities for economically disadvantaged persons to contract with the State of Texas in accordance with the goals specified in the 2009 State of Texas Disparity Study. The statewide HUB goals defined in 34 Texas Administrative Code (TAC) §20.13 are:

- 11.2 percent for heavy construction other than building contracts,
- 21.1 percent for all building construction, including general contractors and operative builders’ contracts,
- 32.9 percent for all special trade construction contracts,
- 23.7 percent for professional services contracts,
- 26.0 percent for all other services contracts, and
- 21.1 percent for commodities contracts.

--- Agency Special Instructions/Additional Requirements ---

In accordance with 34 TAC §20.14(d)(1)(D)(i)(ii), a respondent (prime contractor) may demonstrate good faith effort to utilize Texas certified HUBs for its subcontracting opportunities if the total value of the respondent’s subcontracts with Texas certified HUBs meets or exceeds the statewide HUB goal or the agency specific HUB goal, whichever is higher. When a respondent uses this method to demonstrate good faith effort, the respondent must identify the HUBs with which it will subcontract. If using existing contracts with Texas certified HUBs to satisfy this requirement, only contracts that have been in place for five years or less shall...
For those bids that are received without a correctly completed HSP, they are sometimes rejected. Some agencies will first try contacting the respondent for resubmission of a corrected HSP, or the necessary pages.

The agency must be able (at all times) to get in touch with someone that is knowledgeable of the contract in case there are questions that need clarity or correction(s). For dead-line purposes.

All evaluations will be done by a HUB Program Specialist.

Even if you are a HUB, you are still required to complete the HSP
HUB Subcontracting Plan (HSP) Quick Checklist

While this HSP Quick Checklist is being provided to merely assist you in readily identifying the sections of the HSP form that you will need to complete, it is very important that you adhere to the instructions in the HSP form and instructions provided by the contracting agency.

If you will be awarding all of the subcontracting work you have to offer under the contract to only Texas certified HUB vendors, complete:

- Section 1 - Respondent and Requisition Information
- Section 2 a. - Yes, I will be subcontracting portions of the contract
- Section 2 b. - List all the portions of work you will subcontract, and indicate the percentage of the contract you expect to award to Texas certified HUB vendors
- Section 2 c. - Yes
- Section 4 - Affirmation
- GFE Method A (Attachment A) - Complete an Attachment A for each of the subcontracting opportunities you listed in Section 2 b.

If you will be subcontracting any portion of the contract to Texas certified HUB vendors and Non-HUB vendors, and the aggregate percentage of all the subcontracting work you will be awarding to the Texas certified HUB vendors with which you have a continuous contract in place for five (5) years or less meets or exceeds the HUB Goal the contracting agency identified in the “Agency Special Instructions/Additional Requirements”, complete:

- Section 1 - Respondent and Requisition Information
- Section 2 a. - Yes, I will be subcontracting portions of the contract
- Section 2 b. - List all the portions of work you will subcontract, and indicate the percentage of the contract you expect to award to Texas certified HUB
QUICK CHECKLIST (cont.)

☐ Section 2 a. - Yes, I will be subcontracting portions of the contract
☐ Section 2 b. - List all the portions of work you will subcontract, and indicated the percentage of the contract you expect to award to Texas certified HUB vendors and Non-HUB vendors
☐ Section 2 c. - No
☐ Section 2 d. - No
☐ Section 4 - Affirmation
☐ GFE Method B (Attachment B) - Complete an Attachment B for each of the subcontracting opportunities you listed in Section 2 b.

► If you will not be subcontracting any portion of the contract and will be fulfilling the entire contract with your own resources, complete:

☐ Section 1 - Respondent and Requisition Information
☐ Section 2 a. - No, I will not be subcontracting any portion of the contract, and I will be fulfilling the entire contract with my own resources
☐ Section 3 - Self Performing Justification
☐ Section 4 - Affirmation

*Continuous Contract: Any existing written agreement (including any renewals that are exercised) between a prime contractor and a HUB vendor, where the HUB vendor provides the prime contractor with goods or service under the same contract for a specified period of time. The frequency the HUB vendor is utilized or paid during the term of the contract is not relevant to whether the contract is considered continuous. Two or more contracts that run concurrently or overlap one another for different periods of time are considered by CPA to be individual contracts rather than renewals or extensions to the original contract. In such situations the prime contractor and HUB vendor are entering (have entered) into “new” contracts.
• **Company Name and the Point of Contact**
  The point of contact should be someone that is knowledgeable of the contract that can answer the question(s) of concern

• If applicable, include your **State of Texas VID #** issued by the Comptroller’s office.

• **Is your company a State of Texas certified HUB?**
  please answer YES or NO

• Write-in the **Solicitation/Requisition Number**
  In the event your HSP is separated from your bid response, the agency will at least know by the solicitation/requisition number, where your HSP is to go

• Write-in the **Bid Open Date**
## SECTION-1: RESPONDENT AND REQUISITION INFORMATION

<table>
<thead>
<tr>
<th>a. Respondent (Company) Name:</th>
<th>I Believe In Subcontracting (IBIS)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Point of Contact:</td>
<td>Uget A. Contract</td>
</tr>
<tr>
<td>E-mail Address:</td>
<td><a href="mailto:ibis@gmail.com">ibis@gmail.com</a></td>
</tr>
<tr>
<td>State of Texas VID #:</td>
<td>12345678910</td>
</tr>
<tr>
<td>Phone #:</td>
<td>(800) TRY-SUBS</td>
</tr>
<tr>
<td>Fax #:</td>
<td>(800) GUD-F8TH</td>
</tr>
<tr>
<td>b. Is your company a State of Texas certified HUB?</td>
<td>☐ - Yes  ☐ - No</td>
</tr>
<tr>
<td>c. Requisition #:</td>
<td>1110061</td>
</tr>
<tr>
<td>Bid Open Date:</td>
<td>August 13, 2020</td>
</tr>
</tbody>
</table>
Check the appropriate box that identifies your subcontracting intentions

What if you are not subcontracting – do you still have to complete Section 2???

Everyone must complete Section 2
[RESPONDENT’S SUBCONTRACTING INTENTIONS] by either checking YES or NO

What you choose here will determine how you complete the rest of the application
**Keep in mind**

you will never complete section 3 [Self Performing Justification], Good Faith Effort (GFE) Method A and/or GFE Method B for any one project. You will only complete one of the three scenarios based on your response(s) in section 2 [Respondent’s Subcontracting Intentions].
In accordance with 34 TAC §20.282, the word "subcontractor" for the state is: a person/company who contracts with a prime contractor to work, to supply commodities or to contribute toward completing work for a government entity.

THEREFORE, IF YOU WILL SUBMITTING A BID RESPONSE FOR THIS PROJECT, YOU ARE NOT A SUBCONTRACTOR.
**SECTION 2: SUBCONTRACTING INTENTIONS RESPONDENT**

After dividing the contract work into reasonable lots or portions to the extent consistent with prudent industry practices, and taking into consideration the scope of work to be performed under the proposed contract, including all potential subcontracting opportunities, the respondent must determine what portions of work, including goods and services, will be subcontracted. Note: In accordance with 34 TAC §20.11, an “Subcontractor” means a person who contracts with a prime contractor to work, to supply commodities, or to contribute toward completing work for a governmental entity.

a. Check the appropriate box (Yes or No) that identifies your subcontracting intentions:

- [ ] Yes, I will be subcontracting portions of the contract. (If Yes, complete Item b, of this SECTION and continue to Item c of this SECTION.)
- [ ] No, I will not be subcontracting any portion of the contract, and I will be fulfilling the entire contract with my own resources. (If No, continue to SECTION 3 and SECTION 4.)

b. List all the portions of work (subcontracting opportunities) you will subcontract. Also, based on the total value of the contract, identify the percentages of the contract you expect to award to Texas certified HUBs, and the percentage of the contract you expect to award to vendors that are not a Texas certified HUB (i.e., Non-HUB).

<table>
<thead>
<tr>
<th>Item #</th>
<th>Subcontracting Opportunity Description</th>
<th>HUBs</th>
<th>Non-HUBs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Percentage of the contract expected to be subcontracted to HUBs with which you have a continuous contract* in place for five (5) years or less.</td>
<td>Percentage of the contract expected to be subcontracted to HUBs with which you have a continuous contract* in place for more than five (5) years.</td>
</tr>
<tr>
<td>1</td>
<td></td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>%</td>
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<tr>
<td>3</td>
<td></td>
<td>%</td>
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<tr>
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<td></td>
<td>%</td>
<td>%</td>
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<td>5</td>
<td></td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>6</td>
<td></td>
<td>%</td>
<td>%</td>
</tr>
</tbody>
</table>
If you responded NO to Section 2, item A, you must complete page 3, section 3

• Check the appropriate box that indicates whether your proposal contains an explanation demonstrating how your company will fulfill the entire contract with its own resources; using your own employees, materials, supplies, delivery/transportation (if applicable).

• We want to know how and why your company will be able to complete this entire contract with its own equipment, supplies, materials and/or employees without subcontracting.
Please see Page xx, Section xxx as it refers to how my company will perform the contract in its entirety with its own equipment, supplies, materials and/or employees:

- evidence of existing staffing to meet contract objectives
- monthly payroll records showing company staff fully engaged in the contract
- on site reviews of company headquarters or work site where services are to be performed (if applicable)
- documentation proving employment of qualified personnel holding the necessary licenses and certificates required to perform the work
Here’s where I explain how my company will perform the contract in its entirety with my company’s own equipment, supplies, materials and/or employees

• evidence of existing staffing to meet contract objectives
• monthly payroll records showing company staff fully engaged in the contract
• on site reviews of company headquarters or work site where services are to be performed (if applicable)
• documentation proving employment of qualified personnel holding the necessary licenses and certificates required to perform the work
According to the Texas Administrative Code (TAC); Title 34. Part 1, Chapter 20, subchapter D, Division 1, §20.285 (c)(3) The successful respondent shall provide all additional documentation required by the state agency to demonstrate compliance with good faith effort requirements prior to contract award. If the successful respondent fails to provide supporting documentation (phone logs, fax transmittals, electronic mail, etc.) within the timeframe specified by the state agency to demonstrate compliance with this subsection prior to contract award, that respondent's bid/proposal shall be rejected for material failure to comply with advertised specifications and state law.
LAST...but not LEAST

Your HSP must be signed by an authorized and knowledgeable representative of the company
This section confirms that you have done and will do all that the HSP requires

• You should be able to contact the contract administrator of an agency if you need any type of assistance with completing the HSP.

**Everyone has to read and sign this section whether you are subcontracting or not**

• A copy of the notice required by this section must also be provided to the agency’s contract administrator no later than 10 working days after the contract is awarded.
SECTION 4 – AFFIRMATION - cont.

SECTION 4: AFFIRMATION

As evidenced by my signature below, I affirm that I am an authorized representative of the respondent listed in SECTION 1, and that the information and supporting documentation submitted with the HSP is true and correct. Respondent understands and agrees that, if awarded any portion of the requisition:

- The respondent will provide notice as soon as practical to all the subcontractors (HUBs and Non-HUBs) of their selection as a subcontractor for the awarded contract. The notice must specify at a minimum the contracting agency’s name and its point of contact for the contract, the contract award number, the subcontracting opportunity they (the subcontractor) will perform, the approximate dollar value of the subcontracting opportunity and the expected percentage of the total contract that the subcontracting opportunity represents. A copy of the notice required by this section must also be provided to the contracting agency’s point of contact for the contract no later than ten (10) working days after the contract is awarded.

- The respondent must submit monthly compliance reports (Prime Contractor Progress Assessment Report – PAR) to the contracting agency, verifying its compliance with the HSP, including the use of and expenditures made to its subcontractors (HUBs and Non-HUBs). (The PAR is available at [http://www.window.state.tx.us/procurement/proc/hub/hub-forms/progressassessmentrptx/s](http://www.window.state.tx.us/procurement/proc/hub/hub-forms/progressassessmentrptx/s)).

- The respondent must seek approval from the contracting agency prior to making any modifications to its HSP, including the hiring of additional or different subcontractors and the termination of a subcontractor the respondent identified in its HSP. If the HSP is modified without the contracting agency’s prior approval, respondent may be subject to any and all enforcement remedies available under the contract or otherwise available by law, up to and including debarment from all state contracting.

- The respondent must, upon request, allow the contracting agency to perform on-site reviews of the company’s headquarters and/or work-site where services are being performed and must provide documentation regarding staffing and other resources.

<table>
<thead>
<tr>
<th>Signature</th>
<th>Printed Name</th>
<th>Title</th>
<th>Date (mm/dd/yyyy)</th>
</tr>
</thead>
</table>

Reminder:

➤ If you responded “Yes” to SECTION 2, Items c or d, you must complete an “HSP Good Faith Effort - Method A (Attachment A)” for each of the subcontracting opportunities you listed in SECTION 2, Item b.

➤ If you responded “No” SECTION 2, Items c and d, you must complete an “HSP Good Faith Effort - Method B (Attachment B)” for each of the subcontracting opportunities you listed in SECTION 2, Item b.
THE FOLLOWING IS AN EXAMPLE OF DEMONSTRATING YOUR METHOD A GOOD FAITH EFFORT BASED ON YOUR SUBCONTRACTING INTENTIONS RESPONSE IN SECTION 2 – PAGE 2
According to the Texas Administrative Code (TAC); Title 34. Part 1, Chapter 20, subchapter D, Division 1, §20.285

(d) Establishing good faith effort by respondent.
   (1) Any person submitting a bid, proposal, offer or other applicable expression of interest in obtaining a contract with the state shall submit a completed HUB subcontracting plan demonstrating evidence of good faith effort in developing that plan. Good faith effort shall be shown through utilization of the methods specified below, and in full conformance with all directions for demonstration and submission specified in the HUB subcontracting plan forms prescribed by the comptroller.

   (A) Divide the contract work into reasonable lots or portions to the extent consistent with prudent industry practices.

   (B) Provide written justification of the selection process if the selected subcontractor is not a HUB.

   (C) Provide documentation of meeting one or more of the requirements
I Believe In Subcontracting (IBIS)

**SECTION 2: SUBCONTRACTING INTENTIONS RESPONDENT**

After dividing the contract work into reasonable lots or portions to the extent consistent with prudent industry practices, and taking into consideration the scope of work to be performed under the proposed contract, including all potential subcontracting opportunities, the respondent must determine what portions of work, including goods and services, will be subcontracted. Note: In accordance with 34 TAC §20.11, an “Subcontractor” means a person who contracts with a prime contractor to work, to supply commodities, or to contribute toward completing work for a governmental entity.

- **Check the appropriate box (Yes or No) that identifies your subcontracting intentions:**
  - **Yes**, I will be subcontracting portions of the contract. (If Yes, complete Item b, of this SECTION and continue to Item c of this SECTION.)
  - **No**, I will not be subcontracting any portion of the contract, and I will be fulfilling the entire contract with my own resources. (If No, continue to SECTION 3 and SECTION 4.)

- **List all the portions of work (subcontracting opportunities) you will subcontract. Also, based on the total value of the contract, identify the percentages of the contract you expect to award to Texas certified HUBs, and the percentage of the contract you expect to award to vendors that are not a Texas certified HUB (i.e., Non-HUB).**

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<td>Percentage of the contract expected to be subcontracted to HUBs with which you have a continuous contract in place for more than five (5) years</td>
</tr>
<tr>
<td>1</td>
<td>914-68 Plumbing</td>
<td>10 %</td>
<td>%</td>
</tr>
<tr>
<td>2</td>
<td>912-44 Excavation Services</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>3</td>
<td>914-30 Concrete</td>
<td>15 %</td>
<td>%</td>
</tr>
</tbody>
</table>
IF YOU CHECKED (YES) DEMONSTRATE YOUR METHOD A GOOD FAITH EFFORT IN ONE OF THESE WAYS

• You will either use HUBs for all of the subcontracting opportunities or you may use HUBs and NON-HUBs that meet and/or exceed the HUB goal.

• You may demonstrate your Good Faith Effort to subcontract with only Texas Certified HUB Vendors. Then complete Method A (Attachment A) (ONLY) for each of your subcontracting opportunities listed on page 2, section 2.
Specify the aggregate expected percentage of the contract that you will be subcontracting out using both Texas Certified HUBs with which you have an existing “written” contract in place for five years or less that meets or exceeds the HUB goal.
LIST ALL PORTIONS OF WORK THAT YOU WILL SUBCONTRACT OUT

• Specify the percentages of the contract you expect to award to Texas Certified HUB vendor(s)

• Specify the percentages of the contract you expect to award to vendor(s) that are not Texas Certified HUBs (non-HUBS)

Once you add up all the percentages, your total at the bottom should either meet and/or exceed the HUB goal
SECTION 2: SUBCONTRACTING INTENTIONS RESPONDENT

After dividing the contract work into reasonable lots or portions to the extent consistent with prudent industry practices, and taking into consideration the scope of work to be performed under the proposed contract, including all potential subcontracting opportunities, the respondent must determine what portions of work, including goods and services, will be subcontracted. Note: In accordance with 34 TAC §20.11., an “Subcontractor” means a person who contracts with a prime contractor to work, to supply commodities, or to contribute toward completing work for a governmental entity.

a. Check the appropriate box (Yes or No) that identifies your subcontracting intentions:

- Yes, I will be subcontracting portions of the contract. (If Yes, complete Item b, of this SECTION and continue to Item c of this SECTION.)
- No, I will not be subcontracting any portion of the contract, and I will be fulfilling the entire contract with my own resources. (If No, continue to SECTION 3 and SECTION 4.)

b. List all the portions of work (subcontracting opportunities) you will subcontract. Also, based on the total value of the contract, identify the percentages of the contract you expect to award to Texas certified HUBs, and the percentage of the contract you expect to award to vendors that are not a Texas certified HUB (i.e., Non-HUB).

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<td>Percentage of the contract expected to be subcontracted to HUBs with which you have a continuous contract* in place for more than five (5) years.</td>
<td>Percentage of the contract expected to be subcontracted to non-HUBs.</td>
</tr>
<tr>
<td>1</td>
<td>914-68 Plumbing</td>
<td>10%</td>
<td>%</td>
</tr>
<tr>
<td>2</td>
<td>912-44 Excavation Services</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td>3</td>
<td>914-30 Concrete</td>
<td>15%</td>
<td>%</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td>%</td>
<td>%</td>
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<td></td>
<td>%</td>
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</tr>
<tr>
<td>6</td>
<td></td>
<td>%</td>
<td>%</td>
</tr>
</tbody>
</table>
### Subcontracting Intentions (cont.)

<table>
<thead>
<tr>
<th>#</th>
<th>Company Name</th>
<th>%</th>
<th>%</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>12</td>
<td>914-68 Plumbing</td>
<td>10%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>912-44 Excavation Services</td>
<td></td>
<td></td>
<td>5%</td>
</tr>
<tr>
<td>14</td>
<td>914-30 Concrete</td>
<td>15%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Aggregate percentages of the contract expected to be subcontracted: 25%

(Note: If you have more than fifteen subcontracting opportunities, a continuation sheet is available online at [http://window.state.tx.us/procurement/prog/hub/hub-subcontracting-plan/](http://window.state.tx.us/procurement/prog/hub/hub-subcontracting-plan/)).

c. Check the appropriate box (Yes or No) that indicates whether you will be using only Texas certified HUBs to perform all of the subcontracting opportunities you listed in SECTION 2, Item b.

- Yes (if Yes, continue to SECTION 4 and complete an "HSP Good Faith Effort - Method A (Attachment A)" for each of the subcontracting opportunities you listed.)
- No (if No, continue to Item d, of this SECTION.)

d. Check the appropriate box (Yes or No) that indicates whether the aggregate expected percentage of the contract you will subcontract with Texas certified HUBs with which you have a continuous contract in place with for five (5) years or less meets or exceeds the HUB goal the contracting agency identified on page 1 in the “Agency Special Instructions/Additional Requirements”.

- Yes (if Yes, continue to SECTION 4 and complete an "HSP Good Faith Effort - Method A (Attachment A)" for each of the subcontracting opportunities you listed.)
- No (if No, continue to SECTION 4 and complete an "HSP Good Faith Effort - Method B (Attachment B)" for each of the subcontracting opportunities you listed.)

*Continuous Contract: Any existing written agreement (including any renewals that are exercised) between a prime contractor and a HUB vendor, where the HUB vendor provides the prime contractor with goods or service under the same contract for a specified period of time. The frequency the HUB vendor is utilized or paid during the term of the contract is not relevant to whether the contract is considered continuous. Two or more contracts that run concurrently or overlap one another for different periods of time are considered by CPA to be individual contracts rather than renewals or extensions to the original contract. In such situations the prime contractor and HUB vendor are entering (have entered) into "new" contracts.*
This attachment refers back to page 2, section 2 [SUBCONTRACTING INTENTIONS] of the HSP

• You will need to complete an Attachment A, Section A-1 and A-2 for each opportunity you have listed on page 2, section 2 if you will be using ONLY Texas Certified HUBs or you meet or exceed the specified HUB goal.

• Indicate the approximate dollar amount and expected percentage of the contract to be subcontracted

Must be the same dollar amount and percentages as listed on page 2, section 2
Enter your company’s name here:  
**I Believe In Subcontracting (IBIS)**  
Requisition #: 1110061

**IMPORTANT:** If you responded “Yes” to SECTION 2, Items c or d of the completed HSP form, you must submit a completed “HSP Good Faith Effort - Method A (Attachment A)” for each of the subcontracting opportunities you listed in SECTION 2, Item b of the completed HSP form. You may photo-copy this page or download the form at http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-a.pdf

**SECTION A-1: SUBCONTRACTING OPPORTUNITY**

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

Item Number: 1  
Description: 914-68 Plumbing

**SECTION A-2: SUBCONTRACTOR SELECTION**

List the subcontractor(s) you selected to perform the subcontracting opportunity you listed above in SECTION A-1. Also identify whether they are a Texas certified HUB and their VID number, the approximate dollar value of the work to be subcontracted, the expected percentage of work to be subcontracted, and indicate whether the company is a Texas certified HUB.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Texas certified HUB</th>
<th>VID Number (Required if Texas certified HUB)</th>
<th>Approximate Dollar Amount</th>
<th>Expected Percentage of Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td>Give Me A Try</td>
<td>☑️ Yes</td>
<td>☐️ - No 111111111111</td>
<td>$10</td>
<td>10 %</td>
</tr>
<tr>
<td></td>
<td>☐️ Yes</td>
<td>☐️ - No</td>
<td>$</td>
<td>%</td>
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<tr>
<td></td>
<td>☐️ Yes</td>
<td>☐️ - No</td>
<td>$</td>
<td>%</td>
</tr>
<tr>
<td></td>
<td>☐️ - Yes</td>
<td>☐️ - No</td>
<td>$</td>
<td>%</td>
</tr>
</tbody>
</table>
Method A (Attachment A)
Good Faith Effort – cont.

HSP Good Faith Effort - Method A (Attachment A)

Enter your company’s name here: **Believe In Subcontracting (IBIS)**
Requisition #: **1110061**

**IMPORTANT:** If you responded “Yes” to SECTION 2, Items c or d of the completed HSP form, you must submit a completed “HSP Good Faith Effort - Method A (Attachment A)” for each of the subcontracting opportunities you listed in SECTION 2, Item b of the completed HSP form. You may photo-copy this page or download the form at [http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-a.pdf](http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-a.pdf)

**SECTION A-1: SUBCONTRACTING OPPORTUNITY**

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

Item Number: **2**
Description: **912-44 Excavation Services**

**SECTION A-2: SUBCONTRACTOR SELECTION**

List the subcontractor(s) you selected to perform the subcontracting opportunity you listed above in SECTION A-1. Also identify whether they are a Texas certified HUB and their VID number, the approximate dollar value of the work to be subcontracted, the expected percentage of work to be subcontracted, and indicate whether the company is a Texas certified HUB.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Texas certified HUB</th>
<th>VID Number (Required if Texas certified HUB)</th>
<th>Approximate Dollar Amount</th>
<th>ExpectedPercentage of Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>I Am Not A HUB Sub (IANAHS)</strong></td>
<td>□ Yes □ No</td>
<td>222222222222</td>
<td>$5</td>
<td>5 %</td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No</td>
<td>$</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No</td>
<td>$</td>
<td>%</td>
<td>%</td>
</tr>
<tr>
<td></td>
<td>□ Yes □ No</td>
<td>$</td>
<td>%</td>
<td>%</td>
</tr>
</tbody>
</table>
Enter your company’s name here: **I Believe In Subcontracting (IBIS)**  
Requisition #: **1110061**

**IMPORTANT:** If you responded “Yes” to SECTION 2, Items c or d of the completed HSP form, you must submit a completed “HSP Good Faith Effort - Method A (Attachment A)” for each of the subcontracting opportunities you listed in SECTION 2, Item b of the completed HSP form. You may photo-copy this page or download the form at [http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-a.pdf](http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-a.pdf)

**SECTION A-1: SUBCONTRACTING OPPORTUNITY**

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

**Item Number:** 3  
**Description:** 914-30 Concrete

**SECTION A-2: SUBCONTRACTOR SELECTION**

List the subcontractor(s) you selected to perform the subcontracting opportunity you listed above in SECTION A-1. Also identify whether they are a Texas certified HUB and their VID number, the approximate dollar value of the work to be subcontracted, the expected percentage of work to be subcontracted, and indicate whether the company is a Texas certified HUB.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Texas certified HUB</th>
<th>VID Number (Required if Texas certified HUB)</th>
<th>Approximate Dollar Amount</th>
<th>Expected Percentage of Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>No Pick Me</strong></td>
<td>☑ Yes</td>
<td>☐ No</td>
<td>☑ Yes</td>
<td>☐ No</td>
</tr>
</tbody>
</table>

| | $15 | 15% |
**METHOD A (ATTACHMENT A)**  
**GOOD FAITH EFFORT – cont.**

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
<th>$</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Give Me A Try</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>I Am Not A HUB Sub (IANAHS)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>No Pick Me</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**REMINDER:** As specified in SECTION 4 of the contract, if you (respondent) are awarded any portion of the requisition, you are required to provide notice as soon as practical to all the subcontractors (HUBs and Non-HUBs) of their selection as a subcontractor. The notice must specify at a minimum the contracting agency’s name and its point of contact for the contract, the contract award number, the subcontracting opportunity they (the subcontractor) will perform, the approximate dollar value of the subcontracting opportunity and the expected percentage of the total contract that the subcontracting opportunity represents. A copy of the notice required by this section must also be provided to the contracting agency’s point of contact for the contract no later than ten (10) working days after the contract is awarded.
Your HSP must be signed by an authorized and knowledgeable representative of the company
SECTION 4 – AFFIRMATION

SECTION 4: AFFIRMATION

As evidenced by my signature below, I affirm that I am an authorized representative of the respondent listed in SECTION 1, and that the information and supporting documentation submitted with the HSP is true and correct. Respondent understands and agrees that, if awarded any portion of the requisition:

- The respondent will provide notice as soon as practical to all the subcontractors (HUBs and Non-HUBs) of their selection as a subcontractor for the awarded contract. The notice must specify at a minimum the contracting agency’s name and its point of contact for the contract, the contract award number, the subcontracting opportunity they (the subcontractor) will perform, the approximate dollar value of the subcontracting opportunity and the expected percentage of the total contract that the subcontracting opportunity represents. A copy of the notice required by this section must also be provided to the contracting agency’s point of contact for the contract no later than ten (10) working days after the contract is awarded.

- The respondent must submit monthly compliance reports (Prime Contractor Progress Assessment Report – PAR) to the contracting agency, verifying its compliance with the HSP, including the use of and expenditures made to its subcontractors (HUBs and Non-HUBs). (The PAR is available at http://www.window.state.tx.us/procurement/prog/hub/hub-forms/progressassessmentrpt.xls).

- The respondent must seek approval from the contracting agency prior to making any modifications to its HSP, including the hiring of additional or different subcontractors and the termination of a subcontractor the respondent identified in its HSP. If the HSP is modified without the contracting agency’s prior approval, respondent may be subject to any and all enforcement remedies available under the contract or otherwise available by law, up to and including debarment from all state contracting.

- The respondent must, upon request, allow the contracting agency to perform on-site reviews of the company’s headquarters and/or work-site where services are being performed and must provide documentation regarding staffing and other resources.

<table>
<thead>
<tr>
<th>Signature</th>
<th>Printed Name</th>
<th>Title</th>
<th>Date (mm/dd/yyyy)</th>
</tr>
</thead>
</table>

Reminder:

➤ If you responded “Yes” to SECTION 2, Items c or d, you must complete an “HSP Good Faith Effort - Method A (Attachment A)” for each of the subcontracting opportunities you listed in SECTION 2, Item b.

➤ If you responded “No” SECTION 2, Items c and d, you must complete an “HSP Good Faith Effort - Method B (Attachment B)” for each of the subcontracting opportunities you listed in SECTION 2, Item b.
THE FOLLOWING IS AN EXAMPLE OF DEMONSTRATING YOUR GOOD FAITH EFFORT BASED ON YOUR SUBCONTRACTING INTENTIONS RESPONSE IN SECTION 2 – PAGE 2
LIST ALL PORTIONS OF WORK THAT YOU WILL SUBCONTRACT OUT

• Specify the percentages of the contract you expect to award to Texas Certified HUB vendor(s)

• Specify the percentages of the contract you expect to award to vendor(s) that are not Texas Certified HUBs (non-HUBS)

Once you add up all the percentages, your total at the bottom should either meet and/or exceed the HUB goal
I Believe In Subcontracting (IBIS)  

Enter your company’s name here:  

Requisition #: 1110061

SECTION 2: SUBCONTRACTING INTENTIONS RESPONDENT

After dividing the contract work into reasonable lots or portions to the extent consistent with prudent industry practices, and taking into consideration the scope of work to be performed under the proposed contract, including all potential subcontracting opportunities, the respondent must determine what portions of work, including goods and services, will be subcontracted. Note: In accordance with 34 TAC §20.11, an “Subcontractor” means a person who contracts with a prime contractor to work, to supply commodities, or to contribute toward completing work for a governmental entity.

a. Check the appropriate box (Yes or No) that identifies your subcontracting intentions:

☐ Yes. I will be subcontracting portions of the contract. (If Yes, complete Item b, of this SECTION and continue to Item c of this SECTION.)

☐ No. I will not be subcontracting any portion of the contract, and I will be fulfilling the entire contract with my own resources. (If No, continue to SECTION 3 and SECTION 4.)

b. List all the portions of work (subcontracting opportunities) you will subcontract. Also, based on the total value of the contract, identify the percentages of the contract you expect to award to Texas certified HUBs, and the percentage of the contract you expect to award to vendors that are not a Texas certified HUB (i.e., Non-HUB).

<table>
<thead>
<tr>
<th>Item #</th>
<th>Subcontracting Opportunity Description</th>
<th>HUBs</th>
<th>Non-HUBs</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>914-68 Plumbing</td>
<td>5 %</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>912-44 Excavation Services</td>
<td>5 %</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>914-30 Concrete</td>
<td>5 %</td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>914-68 Plumbing</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>-------------------</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>12</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td><strong>912-44 Excavation Services</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td><strong>914-30 Concrete</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Aggregate percentages of the contract expected to be subcontracted:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(Note: If you have more than fifteen subcontracting opportunities, a continuation sheet is available online at [http://window.state.tx.us/procurement/prog/hub/hub-subcontracting-plan/](http://window.state.tx.us/procurement/prog/hub/hub-subcontracting-plan/).

c. Check the appropriate box (Yes or No) that indicates whether you will be using only Texas certified HUBs to perform all of the subcontracting opportunities you listed in SECTION 2, Item b.

- **Yes** (If Yes, continue to SECTION 4 and complete an “HSP Good Faith Effort - Method A (Attachment A)” for each of the subcontracting opportunities you listed.)

- **No** (If No, continue to Item d, of this SECTION.)

d. Check the appropriate box (Yes or No) that indicates whether the aggregate expected percentage of the contract you will subcontract with Texas certified HUBs with which you have a **continuous contract**, in place with for five (5) years or less meets or exceeds the HUB goal the contracting agency identified on page 1 in the “Agency Special Instructions/Additional Requirements”.

- **Yes** (If Yes, continue to SECTION 4 and complete an “HSP Good Faith Effort - Method A (Attachment A)” for each of the subcontracting opportunities you listed.)

- **No** (If No, continue to SECTION 4 and complete an “HSP Good Faith Effort - Method B (Attachment B)” for each of the subcontracting opportunities you listed.)

---

*Continuous Contract: Any existing written agreement (including any renewals that are exercised) between a prime contractor and a HUB vendor, where the HUB vendor provides the prime contractor with goods or service under the same contract for a specified period of time. The frequency the HUB vendor is utilized or paid during the term of the contract is not relevant to whether the contract is considered continuous. Two or more contracts that run concurrently or overlap one another for different periods of time are considered by CPA to be individual contracts rather than renewals or extensions to the original contract. In such situations the prime contractor and HUB vendor are entering (have entered) into “new” contracts.*
If you do not meet or exceed the recommended HUB Goal and you ARE NOT using ONLY Texas Certified HUBs, then you will need to complete an Attachment B for each of the subcontracting opportunities listed on page 2, section 2.
If you are subcontracting with HUBs and NON-HUB vendors (or only with NON-HUB vendors) and the aggregate percentage you are subcontracting with HUB vendors (with which existing written contracts have been in place for five (5) years or less) **DOES NOT** meet the HUB goal for that category, **you must demonstrate your Good Faith Efforts by completing METHOD B (ATTACHMENT B)**
This section instructs you as to what you must do to demonstrate your Good Faith Effort to contract with HUBs

• You will need to complete an Attachment B for each opportunity you have listed on page 2, section 2

• Indicate the approximate dollar amount and expected percentage of the contract to be subcontracted

Must be the same dollar amount and percentages as listed on Page 2, Section 2
HSP Good Faith Effort - Method B (Attachment B)

Enter your company’s name here: I Believe In Subcontracting (IBIS)  Requisition #: 1110061

**IMPORTANT:** If you responded “Yes” to SECTION 2, Items c or d of the completed HSP form, you must submit a completed “HSP Good Faith Effort - Method B (Attachment B)” for each of the subcontracting opportunities you listed in SECTION 2, Item b of the completed HSP form. You may photo-copy this page or download the form at [http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-b.pdf](http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-b.pdf).

**SECTION B-1: SUBCONTRACTING OPPORTUNITY**
Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

- **Item Number:** 1
- **Description:** 914-68 Plumbing

**SECTION B 2: MENTOR PROTÉGÉ PROGRAM**
If respondent is participating as a Mentor in a State of Texas Mentor Protégé Program, submitting its Protégé (Protégé must be a State of Texas certified HUB) as a subcontractor to perform the subcontracting opportunity listed in SECTION B-1, constitutes a good faith effort to subcontract with a Texas certified HUB towards that specific portion of work.

Check the appropriate box (Yes or No) that indicates whether you will be subcontracting the portion of work you listed in SECTION B-1 to your Protégé.

- [ ] Yes (If Yes, to continue to SECTION B-4.)
- [ ] No / Not Applicable (If No or Not Applicable, continue to SECTION B-3 and SECTION B-4.)

**SECTION B 3: NOTIFICATION OF SUBCONTRACTING OPPORTUNITY**
When completing this section you MUST comply with items a, b, c and d, thereby demonstrating your Good Faith Effort of having notified Texas certified HUBs and trade organizations or development centers about the subcontracting opportunity you listed in SECTION B-1. Your notice should include the scope of work, information regarding the location to review plans and specifications, bonding and insurance requirements, required qualifications, and identify a contact person. When sending notice of your subcontracting opportunity, you are encouraged to use the attached HUB Subcontracting Opportunity Notice form, which is also available online at [http://www.window.state.tx.us/procurement/prog/hub/hub-subcontracting-plan](http://www.window.state.tx.us/procurement/prog/hub/hub-subcontracting-plan).
LET’S PAUSE FOR A MOMENT AND DISCUSS THE MENTOR PROTÉGÉ PROGRAM

- Each agency is responsible for sponsoring a state Mentor Protégé agreement; designed to foster long term relationships between prime contractors/vendors and HUBs to increase the ability of utilizing HUBs to contract with the state or to receive subcontracts under a state contract. The objective is also for development and growth of proteges with the professional guidance and support of Mentors.

You may use your protégé to perform the specific subcontracting work (if applicable)
HSP Good Faith Effort - Method B (Attachment B)

Enter your company’s name here: I Believe In Subcontracting (IBIS)  Requisition #: 1110061

IMPORTANT: If you responded “Yes” to SECTION 2, Items c or d of the completed HSP form, you must submit a completed “HSP Good Faith Effort Method B (Attachment B)” for each of the subcontracting opportunities you listed in SECTION 2, Item b of the completed HSP form. You may photo-copy this page or download the form at http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-b.pdf.

SECTION B-1: SUBCONTRACTING OPPORTUNITY

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

Item Number: 2  Description: 912-44 Excavation Services

SECTION B 2: MENTOR PROTÉGÉ PROGRAM

If respondent is participating as a Mentor in a State of Texas Mentor Protégé Program, submitting its Protégé (Protégé must be a State of Texas certified HUB) as a subcontractor to perform the subcontracting opportunity listed in SECTION B-1, constitutes a good faith effort to subcontract with a Texas certified HUB towards that specific portion of work.

Check the appropriate box (Yes or No) that indicates whether you will be subcontracting the portion of work you listed in SECTION B-1 to your Protégé.

☐ - Yes (If Yes, to continue to SECTION B-4.)
☐ - No / Not Applicable (If No or Not Applicable, continue to SECTION B-3 and SECTION B-4.)

SECTION B 3: NOTIFICATION OF SUBCONTRACTING OPPORTUNITY

When completing this section you MUST comply with items a, b, c and d, thereby demonstrating your Good Faith Effort of having notified Texas certified HUBs and trade organizations or development centers about the subcontracting opportunity you listed in SECTION B-1. Your notice should include the scope of work, information regarding the location to review plans and specifications, bonding and insurance requirements, required qualifications, and identify a contact person. When sending notice of your subcontracting opportunity, you are encouraged to use the attached HUB Subcontracting Opportunity Notice form, which is also available online at http://www.window.state.tx.us/procurement/prog/hub/hub-subcontracting-plan.
HSP Good Faith Effort - Method B (Attachment B)

Enter your company’s name here: I Believe In Subcontracting (IBIS)  
Requisition #: 1110061

**IMPORTANT:** If you responded “Yes” to SECTION 2, Items c or d of the completed HSP form, you must submit a completed “HSP Good Faith Effort - Method B (Attachment B)” for each of the subcontracting opportunities you listed in SECTION 2, Item b of the completed HSP form. You may photo-copy this page or download the form at [http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-b.pdf](http://window.state.tx.us/procurement/prog/hub/hub-forms/hub-sbcont-plan-gfe-achm-b.pdf).

**SECTION B-1: SUBCONTRACTING OPPORTUNITY**

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

Item Number: 3  
Description: 914-30 Concrete

**SECTION B 2: MENTOR PROTÉGÉ PROGRAM**

If respondent is participating as a Mentor in a State of Texas Mentor Protégé Program, submitting its Protégé (Protégé must be a State of Texas certified HUB) as a subcontractor to perform the subcontracting opportunity listed in SECTION B-1, constitutes a good faith effort to subcontract with a Texas certified HUB towards that specific portion of work.

Check the appropriate box (Yes or No) that indicates whether you will be subcontracting the portion of work you listed in SECTION B-1 to your Protégé.

- Yes (If Yes, to continue to SECTION B-4.)
- No / Not Applicable (If No or Not Applicable, continue to SECTION B-3 and SECTION B-4.)

**SECTION B 3: NOTIFICATION OF SUBCONTRACTING OPPORTUNITY**

When completing this section you MUST comply with items a, b, c and d, thereby demonstrating your Good Faith Effort of having notified Texas certified HUBs and trade organizations or development centers about the subcontracting opportunity you listed in SECTION B-1. Your notice should include the scope of work, information regarding the location to review plans and specifications, bonding and insurance requirements, required qualifications, and identify a contact person. When sending notice of your subcontracting opportunity, you are encouraged to use the attached HUB Subcontracting Opportunity Notice form, which is also available online at [http://www.window.state.tx.us/procurement/prog/hub/hub-subcontracting-plan](http://www.window.state.tx.us/procurement/prog/hub/hub-subcontracting-plan).
GOOD FAITH EFFORT(S) – cont.

• Provide notice of the subcontracting opportunity(ies) to at least three (3) Texas Certified HUBs

ALLOW NO LESS THAN 7 WORKING DAYS PRIOR TO SUBMITTING YOUR BID RESPONSE FOR HUBs TO RESPOND

***DOES NOT include holidays and/or weekends***

I highly recommend sending out notifications by no later than July 31, 2020

In order to meet the 7 working days
SECTION B3: NOTIFICATION OF SUBCONTRACTING OPPORTUNITY

When completing this section you MUST comply with items a, b, c and d thereby demonstrating your Good Faith Effort of having notified Texas certified HUBs and trade organizations or development centers about the subcontracting opportunity you listed in SECTION B-1. Your notice should include the scope of work, information regarding the location to review plans and specifications, bonding and insurance requirements, required qualifications, and identify a contact person. When sending notice of your subcontracting opportunity, you are encouraged to use the attached HUB Subcontracting Opportunity Notice form, which is also available online at http://www.window.state.tx.us/procurement/proc/hub/hub-subcontracting-plan.

Retain supporting documentation (i.e., certified letter, fax, e-mail) demonstrating evidence of your good faith effort to notify the Texas certified HUBs and trade organizations or development centers. Also, be mindful that a working day is considered a normal business day of a state agency, not including weekends, federal or state holidays, or days the agency is declared closed by its executive officer. The initial day the subcontracting opportunity notice is sent provided to the HUBs and to the trade organizations or development centers is considered to be “day zero” and does not count as one of the seven (7) working days.

a. Provide written notification of the subcontracting opportunity you listed in SECTION B-1, to three (3) or more Texas certified HUBs. Unless the contracting agency specified a different time period, you must allow the HUBs at least seven (7) working days to respond to the notice prior to your submitting your bid response to the contracting agency. When searching for Texas certified HUBs, ensure that you use the State of Texas Centralized Master Bidders List (CMBL) and Historically Underutilized Business (HUB) Search directory located at http://myhubs.state.tx.us/passes/bsearch/index.jsp. HUB Status code “A” signifies that the company is a Texas certified HUB.

b. List the three (3) Texas certified HUBs you notified regarding the subcontracting opportunity you listed in SECTION B-1. Include the company’s Vendor ID (VID) number, the date you sent notice to that company, and indicate whether it was responsive or non-responsive to your subcontracting opportunity notice.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>VID Number</th>
<th>Date Notice Sent (mm/dd/yyyy)</th>
<th>Did the HUB Respond?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Company ABC</td>
<td>11 DIGITS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Company DEF</td>
<td>11 DIGITS</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Company XYZ</td>
<td>11 DIGITS</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
• Notifications of subcontracting opportunities must be done in order for your bid to be accepted

• You must retain and send supporting documentation demonstrating evidence of your GFE to notify Texas Certified HUBs and Minority or Women Trade Organizations or Development Centers

PLEASE DO NOT WRITE:
“There are no HUBs to solicit”
OR
“You will let us know later”
OR
TBD (To Be Determined)

THIS IS UNACCEPTABLE
Texas Administrative Code (TAC) Title 34, Part 1 Chapter 20, Subchapter D, Division 1 – Rule §20.285 (e), states the following:

...State agencies shall review the documentation submitted by the respondent to determine if a good faith effort has been made in accordance with this section. If the state agency determines that a submitted HUB subcontracting plan was not developed in good faith, the state agency shall treat that determination as a material failure to comply with advertised specifications, and the subject response (bid, proposal, offer, or other applicable expression of interest) shall be rejected.
• A HUB subcontractor listing to assist primes in the solicitation process is provided by the Contract Management Team

• Also included is a list of Minority & Trade Organizations/Development Centers to assist primes in the solicitation process
Again, DO NOT count the first notification day as one of the seven (7) working days.

***DO NOT include holidays and/or weekends***

We highly recommend sending out notifications by no later than July 31, 2020 in order to meet the 7 working days.
c. Provide written notification of the subcontracting opportunity you listed in SECTION B-1 to two (2) or more trade organizations or development centers in Texas to assist in identifying potential HUBs by disseminating the subcontracting opportunity to their members/participants. Unless the contracting agency specified a different time period, you must provide your subcontracting opportunity notice to trade organizations or development centers at least seven (7) working days prior to submitting your bid response to the contracting agency. A list of trade organizations and development centers that have expressed an interest in receiving notices of subcontracting opportunities is available on the Statewide HUB Program’s webpage at http://www.window.state.tx.us/procurement/proc/hub/mwb-links-1/.

d. List two (2) trade organizations or development centers you notified regarding the subcontracting opportunity you listed in SECTION B-1. Include the date when you sent notice to it and indicate if it accepted or rejected your notice.

<table>
<thead>
<tr>
<th>Trade Organizations or Development Centers</th>
<th>Date Notice Sent (mm/dd/yyyy)</th>
<th>Was the Notice Accepted?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ORGANIZATION</td>
<td>MAILING ADDRESS</td>
<td>PHONE</td>
</tr>
<tr>
<td>------------------------------------------------------------------------------</td>
<td>--------------------------------------</td>
<td>---------------</td>
</tr>
<tr>
<td>Black Contractors Association – Dallas/Fort Worth</td>
<td>1409 S. Lamar Street, Suite 251, Dallas, TX 75215</td>
<td>214-485-0483</td>
</tr>
<tr>
<td>Dallas Black Chamber of Commerce</td>
<td>2838 Martin Luther King Jr. Boulevard, Dallas, TX 75215</td>
<td>214-421-5200</td>
</tr>
<tr>
<td>DFW Minority Supplier Development Council</td>
<td>2710 North Stemmons Freeway, North Tower, Suite 900, Dallas, TX 75207-2212</td>
<td>214-630-0747</td>
</tr>
<tr>
<td>Dallas Hispanic Chamber of Commerce</td>
<td>4622 Maple Avenue, Suite 207, Dallas, TX 75219</td>
<td>214-521-6007</td>
</tr>
<tr>
<td>DelMar College PTAC, Corpus Christi Black C of C</td>
<td>101 Baldwin Blvd., CED-146, Corpus Christi, TX 78404</td>
<td>361-988-1025</td>
</tr>
<tr>
<td>El Paso Hispanic Chamber of Commerce</td>
<td>2401 E. Missouri, El Paso 79903</td>
<td>915-366-4066</td>
</tr>
<tr>
<td>Fort Worth Metropolitan Black Chamber of Commerce</td>
<td>1150 South Freeway, Suite 211, Fort Worth, TX 76104</td>
<td>817-371-6538</td>
</tr>
<tr>
<td>Golden Triangle Minority Business Council</td>
<td>2155 Stillwater, Beaumont, TX 77705</td>
<td>409-962-8530</td>
</tr>
<tr>
<td>Greater Austin Black Chamber of Commerce</td>
<td>912 E 11th Street, Suite A, Austin, TX 78702</td>
<td>512-459-1181</td>
</tr>
<tr>
<td>Greater Houston Business Procurement Forum</td>
<td>170712 South Post Oak Blvd., PMB 273, Houston, TX 77056</td>
<td>832-216-2185</td>
</tr>
<tr>
<td>Hispanic Contractors Association – Houston</td>
<td>7 Parker Road, Houston, TX 77076</td>
<td>832-883-5078</td>
</tr>
<tr>
<td>Hispanic Contractors Association – San Antonio</td>
<td>8300 Pat Booker, RM 233 Live Oak, San Antonio, TX 78233</td>
<td>210-444-1109</td>
</tr>
<tr>
<td>Hispanic Contractors Association – Regional</td>
<td>2210 W. Illinois Avenue, Dallas, TX 75224-1636</td>
<td>972-786-0909</td>
</tr>
<tr>
<td>Houston Hispanic Chamber of Commerce</td>
<td>1801 Main Street, Suite 890, Houston, TX 77002</td>
<td>713-544-7070</td>
</tr>
<tr>
<td>Houston Minority Supplier Development Council</td>
<td>Three Riverway, Suite 555, Houston, TX 77056</td>
<td>713-271-7805</td>
</tr>
<tr>
<td>National Association of Minority Contractors Inc. – Houston</td>
<td>3825 Daoma St., Houston, TX 77092</td>
<td>713-843-3791</td>
</tr>
<tr>
<td>San Antonio Hispanic Chamber of Commerce</td>
<td>200 East Grayson, Suite 203, San Antonio, TX 78215</td>
<td>210-225-0462</td>
</tr>
<tr>
<td>Southwest Minority Supplier Development Council</td>
<td>912 Bastrop Highway, Ste. 101, Austin, TX 78741</td>
<td>512-386-8766</td>
</tr>
<tr>
<td>Greater Austin Asian Chamber of Commerce</td>
<td>3463 Magic Dr., #300, Austin, TX 78229</td>
<td>210-525-7925</td>
</tr>
<tr>
<td>Texas Association of African American Chambers of Commerce (TAAACC)</td>
<td>P.O. Box 13064, Austin, TX 78711-3064</td>
<td>512-535-5610</td>
</tr>
<tr>
<td>Texas Association of Mexican American Chambers of Commerce (TAMACC)</td>
<td>3000 South IH-35, Suite 305, Austin, TX 78704</td>
<td>512-444-5727</td>
</tr>
<tr>
<td>Tri-County Black Chamber of Commerce</td>
<td>P.O. Box 88376, Houston, TX 77288</td>
<td>832-875-3977</td>
</tr>
<tr>
<td>U.S. Hispanic Contractors Association de Austin</td>
<td>319 Congress Ave., Ste 250 Austin, TX 78723</td>
<td>512-922-0507</td>
</tr>
<tr>
<td>U.S. Pan Asian American Chamber of Commerce SW</td>
<td>202 E. Border Street, Suite 144, Arlington, TX 76010</td>
<td>817-469-5485</td>
</tr>
<tr>
<td>Women’s Business Council - Southwest</td>
<td>2201 North Collins, Suite 158, Arlington, TX 76011</td>
<td>817-299-0566</td>
</tr>
<tr>
<td>Women’s Business Enterprise Alliance (WBEA)</td>
<td>9800 NW Frey, Suite 120, Houston, TX 77092</td>
<td>713-681-9232</td>
</tr>
<tr>
<td>Women Contractors Association (WCA)</td>
<td>10807 Jones Rd, PMB 164, Houston, TX 77065</td>
<td>713-807-9977</td>
</tr>
</tbody>
</table>
**METHOD B (ATTACHMENT B)**
**SECTION B-3**

**HSP Good Faith Effort - Method B (Attachment B) Cont.**

Enter your company’s name here: **I Believe In Subcontracting (IBIS)**  
Requisition #: **1110061**

---

**SECTION B-4: SUBCONTRACTOR SELECTION**

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

a. Enter the item number and description of the subcontracting opportunity for which you are completing this Attachment B continuation page.

Item Number: **1**  
Description: **914-68 Plumbing**

b. List the subcontractor(s) you selected to perform the subcontracting opportunity you listed in SECTION B-1. Also identify whether they are a Texas certified HUB and their VID number, the approximate dollar value of the work to be subcontracted, the expected percentage of work to be subcontracted, and indicate whether the company is a Texas certified HUB.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Texas certified HUB</th>
<th>VID Number (Required if Texas certified HUB)</th>
<th>Approximate Dollar Amount</th>
<th>Expected Percentage of Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Give Me A Try</strong></td>
<td>□ - Yes □ - No</td>
<td>$ 5</td>
<td>5 %</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ - Yes □ - No</td>
<td>$</td>
<td>%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ - Yes □ - No</td>
<td>$</td>
<td>%</td>
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<tr>
<td></td>
<td>□ - Yes □ - No</td>
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<td>□ - Yes □ - No</td>
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<td>□ - Yes □ - No</td>
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<td></td>
<td>□ - Yes □ - No</td>
<td>$</td>
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<td></td>
</tr>
<tr>
<td></td>
<td>□ - Yes □ - No</td>
<td>$</td>
<td>%</td>
<td></td>
</tr>
</tbody>
</table>
(3) In making a **determination if a good faith effort has been made** in the development of the required HUB subcontracting plan, a state agency may require the respondent to submit supporting documentation explaining how the respondent has made a good faith effort according to each criterion listed in subsection (c)(2)(A) - (D) of this section. The documentation shall include at least the following:

(A) how the respondent divided the contract work into reasonable lots or portions consistent with prudent industry practices

(B) how the respondent's notices contain adequate information about bonding, insurance, the availability of plans, the specifications, scope of work, required qualifications and other requirements of the contract allowing reasonable time for HUBs to participate effectively

(C) how the respondent negotiated in good faith with qualified HUBs, not rejecting qualified HUBs who were also the best value responsive bidder

(D) how the respondent provided notice to trade organizations or development centers to assist in identifying HUBs by disseminating subcontracting opportunities to their membership/participants

(E) for contracts subject to paragraph (1)(D)(ii) of this subsection, **which HUBs were contracted to perform the subcontracting services for each subcontracting opportunity**
You will need to justify why you are using a NON-HUB vendor instead of a HUB vendor???
**SECTION B-4: SUBCONTRACTOR SELECTION**

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

a. Enter the item number and description of the subcontracting opportunity for which you are completing this Attachment B continuation page.

<table>
<thead>
<tr>
<th>Item Number</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>912-44 Excavation Services</td>
</tr>
</tbody>
</table>

b. List the subcontractor(s) you selected to perform the subcontracting opportunity you listed in SECTION B-1. Also identify whether they are a Texas certified HUB and their VID number, the approximate dollar value of the work to be subcontracted, the expected percentage of work to be subcontracted, and indicate whether the company is a Texas certified HUB.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Texas certified HUB</th>
<th>VID Number (Required if Texas certified HUB)</th>
<th>Approximate Dollar Amount</th>
<th>Expected Percentage of Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>I’m Not A HUB Sub (INAHS)</strong></td>
<td>□ - Yes □ - No</td>
<td>$ 5</td>
<td>5 %</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ - Yes □ - No</td>
<td>$</td>
<td>%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ - Yes □ - No</td>
<td>$</td>
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<td>□ - Yes □ - No</td>
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<td>□ - Yes □ - No</td>
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<td>□ - Yes □ - No</td>
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<td>□ - Yes □ - No</td>
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<td>□ - Yes □ - No</td>
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<td>□ - Yes □ - No</td>
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<td>□ - Yes □ - No</td>
<td>$</td>
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<td></td>
</tr>
<tr>
<td></td>
<td>□ - Yes □ - No</td>
<td>$</td>
<td>%</td>
<td></td>
</tr>
</tbody>
</table>
You will need to justify why you are using a NON-HUB vendor instead of a HUB vendor???
**METHOD B (ATTACHMENT B)**
**SECTION B-3**

**HSP Good Faith Effort - Method B (Attachment B) Cont.**

Enter your company’s name here: **I Believe In Subcontracting (IBIS)**  Requisition #: **1110061**

**SECTION B-4: SUBCONTRACTOR SELECTION**

Enter the item number and description of the subcontracting opportunity you listed in SECTION 2, Item b, of the completed HSP form for which you are completing the attachment.

a. Enter the item number and description of the subcontracting opportunity for which you are completing this Attachment B continuation page.

   Item Number: **3**  Description: **914-30 Concrete**

b. List the subcontractor(s) you selected to perform the subcontracting opportunity you listed in SECTION B-1. Also identify whether they are a Texas certified HUB and their VID number, the approximate dollar value of the work to be subcontracted, the expected percentage of work to be subcontracted, and indicate whether the company is a Texas certified HUB.

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Texas certified HUB</th>
<th>VID Number (Required if Texas certified HUB)</th>
<th>Approximate Dollar Amount</th>
<th>Expected Percentage of Contract</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>No Pick Me</strong></td>
<td>□ - Yes □ - No</td>
<td>111111111111</td>
<td>$5</td>
<td>5 %</td>
</tr>
</tbody>
</table>
If any of the subcontractors you have selected to perform the subcontracting opportunity you listed in SECTION B-1 is **not** a Texas certified HUB, provide written justification for your selection process (attach additional page if necessary):

**If you are awarded any portion of the requisition, you are to provide notice as soon as practical to all the subcontractors (both HUBs and NON-HUBs) of their selection as a subcontractor, with the expected percentage amount**

**REMINDER:** As specified in SECTION 4 of the completed Form 1177, if you (respondent) are awarded any portion of the requisition, you are required to provide notice as soon as practical to all the subcontractors (HUBs and Non-HUBs) of their selection as a subcontractor. The notice must specify at a minimum the contracting agency’s name and its point of contact for the contract, the contract award number, the subcontracting opportunity it (the subcontractor) will perform, the approximate dollar value of the subcontracting opportunity and the expected percentage of the total contract that the subcontracting opportunity represents. A copy of the notice required by this section must also be provided to the contracting agency’s point of contact for the contract no later than ten (10) working days after the contract is awarded.
LAST…but not LEAST

▶ Your HSP must be signed by an authorized and knowledgeable representative of the company.

▶ If you *thoroughly* follow the instructions, you will be able to complete the HSP depending on the method by which you choose to demonstrate your Good Faith Effort to subcontract with HUBs.
SECTION 4 – AFFIRMATION

SECTION 4: AFFIRMATION

As evidenced by my signature below, I affirm that I am an authorized representative of the respondent listed in SECTION 1, and that the information and supporting documentation submitted with the HSP is true and correct. Respondent understands and agrees that, if awarded any portion of the requisition:

- The respondent will provide notice as soon as practical to all the subcontractors (HUBs and Non-HUBs) of their selection as a subcontractor for the awarded contract. The notice must specify at a minimum the contracting agency’s name and its point of contact for the contract, the contract award number, the subcontracting opportunity they (the subcontractor) will perform, the approximate dollar value of the subcontracting opportunity and the expected percentage of the total contract that the subcontracting opportunity represents. A copy of the notice required by this section must also be provided to the contracting agency’s point of contact for the contract no later than ten (10) working days after the contract is awarded.

- The respondent must submit monthly compliance reports (Prime Contractor Progress Assessment Report – PAR) to the contracting agency, verifying its compliance with the HSP, including the use of and expenditures made to its subcontractors (HUBs and Non-HUBs). (The PAR is available at http://www.window.state.tx.us/procurement/prog/hub/hub-forms/progressassessmentrpt.xls).

- The respondent must seek approval from the contracting agency prior to making any modifications to its HSP, including the hiring of additional or different subcontractors and the termination of a subcontractor the respondent identified in its HSP. If the HSP is modified without the contracting agency’s prior approval, respondent may be subject to any and all enforcement remedies available under the contract or otherwise available by law, up to and including debarment from all state contracting.

- The respondent must, upon request, allow the contracting agency to perform on-site reviews of the company’s headquarters and/or work-site where services are being performed and must provide documentation regarding staffing and other resources.

Uget A. Contract

Owner

Date

(m/d/yyyy)

Reminder:

➢ If you responded “Yes” to SECTION 2, Items c or d, you must complete an “HSP Good Faith Effort - Method A (Attachment A)” for each of the subcontracting opportunities you listed in SECTION 2, Item b.

➢ If you responded “No” SECTION 2, Items c and d, you must complete an “HSP Good Faith Effort - Method B (Attachment B)” for each of the subcontracting opportunities you listed in SECTION 2, Item b.
HUB Subcontracting Opportunity Notification Form

In accordance with Texas Gov't Code, Chapter 2161, each state agency that considers entering into a contract with an expected value of $100,000 or more shall, before the agency solicits bids, proposals, offers, or other applicable expressions of interest, determine whether subcontracting opportunities are probable under the contract. The state agency I have identified below in Section B has determined that subcontracting opportunities are probable under the requisition to which my company will be responding.

34 Texas Administrative Code, §20.14 requires all respondents (prime contractors) bidding on the contract to provide notice of each of their subcontracting opportunities to at least three (3) Texas certified HUBs (who work within the respective industry applicable to the subcontracting opportunity), and allow the HUBs at least seven (7) working days to respond to the notice prior to the respondent submitting its bid response to the contracting agency. In addition, at least seven (7) working days prior to submitting its bid response to the contracting agency, the respondent must provide notice of each of its subcontracting opportunities to two (2) or more trade organizations or development centers (in Texas) that serves members of groups (i.e., Asian Pacific American, Black American, Hispanic American, Native American, Woman, Service Disabled Veteran) identified in Texas Administrative Code, §20.11(19)(C).

We respectfully request that vendors interested in bidding on the subcontracting opportunity scope of work identified in Section C, Item 2, reply no later than the date and time identified in Section C, Item 1. Submit your response to the point-of-contact referenced in Section A.

SECTION: A  PRIME CONTRACTOR'S INFORMATION

Company Name: I Believe In Subcontracting (IBIS)
Point-of-Contact: Uget A. Contract
E-mail Address: ibis@gmail.com

State of Texas VID #: 12345678910
Phone #: (800) TRY-SUBS
Fax #: (800) GUD-F8TH

SECTION: B  CONTRACTING STATE AGENCY AND REQUISITION INFORMATION

Agency Name: Texas Parks and Wildlife Department
Point-of-Contact: Michael Polendo
Requisition #: 1110061

Phone #: 512.389.8728
Bid Open Date: August 6, 2020

SECTION: C  SUBCONTRACTING OPPORTUNITY RESPONSE DUE DATE, DESCRIPTION, REQUIREMENTS AND RELATED INFORMATION

1. Potential Subcontractor's Bid Response Due Date:
### Section: C  Subcontracting Opportunity Response Due Date, Description, Requirements and Related Information

1. Potential Subcontractor’s Bid Response Due Date:
   
   If you would like for our company to consider your company's bid for the subcontracting opportunity identified below in Item 2, we must receive your bid response no later than **Select Central Time on August 13, 2020**.

   *In accordance with 34 TAC §20.14, each notice of subcontracting opportunity shall be provided to at least three (3) Texas certified HUBs, and allow the HUBs at least seven (7) working days to respond to the notice prior to submitting our bid response to the contracting agency. In addition, at least seven (7) working days prior to us submitting our bid response to the contracting agency, we must provide notice of each of our subcontracting opportunities to two (2) or more trade organizations or development centers (in Texas) that serves members of groups (i.e., Asian Pacific American, Black American, Hispanic American, Native American, Woman, Service Disabled Veteran) identified in Texas Administrative Code, §20.11(19)(C).

   (A working day is considered a normal business day of a state agency, not including weekends, federal or state holidays, or days the agency is declared closed by its executive officer. The initial day the subcontracting opportunity notice is sent/provided to the HUBs and to the trade organizations or development centers is considered to be “day zero” and does not count as one of the seven (7) working days.)*

2. Subcontracting Opportunity Scope of Work:

   Please see: www.tpwd.texas.org/bidopportunity

3. Required Qualifications:

   [ ] - Not Applicable

4. Bonding/Insurance Requirements:

   [ ] - Not Applicable
### HSP Opportunity Notification Form (cont.)

<table>
<thead>
<tr>
<th>Response Due Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

**Note:** US Government contractors and subcontractors are required to notify the contracting agency of their intent to bid on a project. The notification must be sent to the primary direction center at least seven (7) working days prior to submitting our bid response to the contracting agency.

(A working day is considered a normal business day of a state agency, not including weekends, federal or state holidays, or days the agency is declared closed by its executive officer. The initial day the subcontracting opportunity notice is sent/provided to the HUBs and to the minority or women trade organizations or development centers is considered to be “day zero” and does not count as one of the seven (7) working days.)

<table>
<thead>
<tr>
<th>2. Subcontracting Opportunity Scope of Work:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>3. Required Qualifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>- Not Applicable</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>4. Bonding/Insurance Requirements:</th>
</tr>
</thead>
<tbody>
<tr>
<td>- Not Applicable</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>5. Location to review plans/specifications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>- Not Applicable</td>
</tr>
</tbody>
</table>